WEALTHWATCH ADVISORS

Client Financial Questionnaire

Date Form Completed: ____________________

Advisor Information

Name of Advisor: ____

Investor(s) Profile

Complete both clients, if applicable. Otherwise, complete only the section applicable to Client One.

Client One

Client Name: ____

Age: ________________________

State of Primary Residence: ____________

Primary Residence ☐ Own ☐ Rent If own, estimated residence value: $ __________________

Employment Status: ☐ Yes ☐ No

Retired: ☐ Yes ☐ No

Annual Income: ____

Social Security Benefit: ________________________ Pension Income: ____________________________________

Retirement Income Goal: ______________________

Remaining Mortgage Balance: _____________________________ Years Remaining on Mortgage: __________

Amount of Emergency Liquid Cash: _______________ Amount of Emergency Liquid Cash: _____________

Client Two

Client Name: ____

Age: ________________________

State of Primary Residence: ____________

Primary Residence ☐ Own ☐ Rent If own, estimated residence value: $ __________________

Employment Status: ☐ Yes ☐ No

Retired: ☐ Yes ☐ No

Annual Income: ____

Social Security Benefit: ________________________ Pension Income: ____________________________________

Retirement Income Goal: ______________________

Remaining Mortgage Balance: _____________________________ Years Remaining on Mortgage: __________

Amount of Emergency Liquid Cash: _______________ Amount of Emergency Liquid Cash: _____________

Liabilities

(Car Loans, personal loans, student loans, 2nd home mortgage, etc.)

Complete both clients, if applicable. Otherwise, complete only the section applicable to Client One.

Client One

Liability Description __________________________

Liability Value __________________________

Liability Description __________________________

Liability Value __________________________

Client Two

Liability Description __________________________

Liability Value __________________________

Liability Description __________________________

Liability Value __________________________

Investment Experience

Complete both clients, if applicable. Otherwise, complete only the section applicable to Client One.

Client One

☐ Novice ☐ Intermediate ☐ Expert

Client Two

☐ Novice ☐ Intermediate ☐ Expert

Retirement Time Horizon

Complete both clients, if applicable. Otherwise, complete only the section applicable to Client One.

Client One

- ☐ <5 years
- ☐ 5–10 years
- ☐ 11–15 years
- ☐ 16–20 years
- ☐ >20 years
- ☐ Retired

Client Two

- ☐ <5 years
- ☐ 5–10 years
- ☐ 11–15 years
- ☐ 16–20 years
- ☐ >20 years
- ☐ Retired

Investment Information (Insurance & Investments)

Complete both clients, if applicable. Otherwise, complete only the section applicable to Client One.

Client One

Description	Value

Client Two

Description	Value

Household Net Worth Excluding Primary Residence

- ☐ From $0 to $50,000
- ☐ From $50,000 to $100,000
- ☐ From $100,000 to $250,000
- ☐ From $250,000 to $500,000
- ☐ From $500,000 to $1,000,000
- ☐ $1,000,000 or more

Retirement Goal Profile

Complete both clients, if applicable. Otherwise, complete only the section applicable to Client One.

Client One

Number of Years to Retirement: ____________________

Annual Income Goal at Retirement: ________________

Client Two

Number of Years to Retirement: ____________________

Annual Income Goal at Retirement: ________________

Additional Financial Goals Not Mentioned Above