



Wealth Watch
Advisors, LLC

WWA Risk Questionnaire

Client Self-Assessment

ENTER Client Name Here>

1 How would you describe your personal investment style?

- ☐ Aggressive
- ☐ Moderately Aggressive
- ☐ Moderate
- ☐ Moderately Conservative
- ☐ Conservative

2 What is your approximate net worth excluding your principal residence?

- ☐ Less than \$100,000
- ☐ \$100,000 to \$250,000
- ☐ \$250,000 to \$500,000
- ☐ \$500,000 to \$1,000,000
- ☐ \$1,000,000 or more

3 Based upon current monthly bills, how many months of emergency savings do you have in unrestricted liquid assets?

- ☐ Six months or more
- ☐ Four to five months
- ☐ Three months
- ☐ Two months
- ☐ One month or less

4 Over the next ten years, you expect your annual income to:

- ☐ Increase substantially
- ☐ Increase moderately
- ☐ Stay about the same
- ☐ Decrease moderately
- ☐ Decrease substantially

5 From September 2008 through November 2008, North American stock markets fell over 30%. If you were invested in a strategy that lost 30% within 3 months, you would:

- ☐ Sell all of the remaining investment to avoid any additional losses
- ☐ Sell a portion of the remaining investment to protect some of your capital
- ☐ Hold onto the investment in the hopes of an eventual market rebound
- ☐ Buy more of the investment now that prices are lower

6 Knowing that higher returns are typically accompanied by higher risk exposure, over the next 10 years, I want my investment account to average the following annual rate of return:

- ☐ 1% to 3%
- ☐ 3% to 6%
- ☐ 6% to 10%
- ☐ 10% to 15%
- ☐ 15% or more

7 Which statement best describes the action you would take if you experienced a 10% loss in your account(s)?

- ☐ I can not afford to take a 10% loss
- ☐ I would either abandon, or make significant changes to the current investment strategy
- ☐ I would make minor changes to the current investment strategy
- ☐ I would not make any changes to the current investment strategy
- ☐ I would take advantage of the downturn and invest more into the current strategy

8 Which statement best describes the action you would take if you experienced a 20% loss in your account(s)?

- ☐ I can not afford to take a 20% loss
- ☐ I would either abandon, or make significant changes to the current investment strategy
- ☐ I would make minor changes to the current investment strategy
- ☐ I would not make any changes to the current investment strategy
- ☐ I would take advantage of the downturn and invest more into the current strategy

9 Which statement best describes the action you would take if you experienced a 30% loss in your account(s)?

- ☐ I can not afford to take a 30% loss
- ☐ I would either abandon, or make significant changes to the current investment strategy
- ☐ I would make minor changes to the current investment strategy
- ☐ I would not make any changes to the current investment strategy
- ☐ I would take advantage of the downturn and invest more into the current strategy

10 The portfolio we might recommend will fluctuate in value. Hypothetically, what's the maximum loss (drawdown) that you could tolerate in connection with the selected investment strategy?

- ☐ 5%
- ☐ 10%
- ☐ 15%
- ☐ 20%
- ☐ 25% or greater

11 Hypothetically, knowing that higher returns are typically correlated with higher risk exposure, which of the following 1-year scenarios is most appealing?

- ☐ The virtual certainty of a 2-3% gain
- ☐ Risking a 5% loss for the chance at a potential 10% gain
- ☐ Risking a 10% loss for the chance at a potential 20% gain
- ☐ Risking a 20% loss for the chance at a potential 40% gain
- ☐ Risking a 50% loss for the chance at a potential 150% gain

12 Which of the following best describes your primary objective as an investor?

- ☐ Safety (Preservation of Capital)
- ☐ Current Income
- ☐ Growth of Capital
- ☐ Balance of Growth & Income
- ☐ Maximizing Returns (Despite the Risks)

13 Do you agree or disagree with the following statement: "When the market goes down, I prefer to sell some of my riskier assets and put the money in safer assets."

- ☐ Agree
- ☐ Somewhat Agree
- ☐ Disagree

14 Do you agree or disagree with the following statement: "I prefer investments with very low volatility, and I am willing to accept the lower returns associated with these investments."

- ☐ Agree
- ☐ Somewhat Agree
- ☐ Disagree

15 Do you agree or disagree with the following statement: "I prefer investments that will maximize returns, and I am willing to accept substantial periodic losses in order to achieve that goal."

- ☐ Agree
- ☐ Somewhat Agree
- ☐ Disagree