BROOKLYN
INVESTMENT
GROUP

Custom direct indexing across equities and fixed income for financial advisors

WHY WE EXIST

We founded Brooklyn to help financial advisors create engaging client experiences and scale growth.

Your practice, your brand, powered by Brooklyn.

> *"Jordan Park is excited to work with Brooklyn to deploy a digital wealth management platform to empower independent financial advisors. Given their investment experience and novel A.I. technology, the team at Brooklyn is uniquely suited to deliver scalable … personalization and tax-efficiency across the entire liquid portfolio."*

JORDAN PARK

Jordan Park

Leading wealth management firm with $17B+ AUM

Jordan Park is both Brooklyn's client and strategic partner. Additionally, Jordan Park is an investor in Brooklyn Artificial Intelligence Research, the parent company of Brooklyn Investment Group, and therefore has an interest in Brooklyn's financial success.

WHAT WE DO

Brooklyn Investment Group is a multi-asset custom direct indexing platform for financial advisors.

Brooklyn's AI-powered technology enables full personalization and systematic year-round tax-loss harvesting across equities and fixed income.

CUSTOMIZE

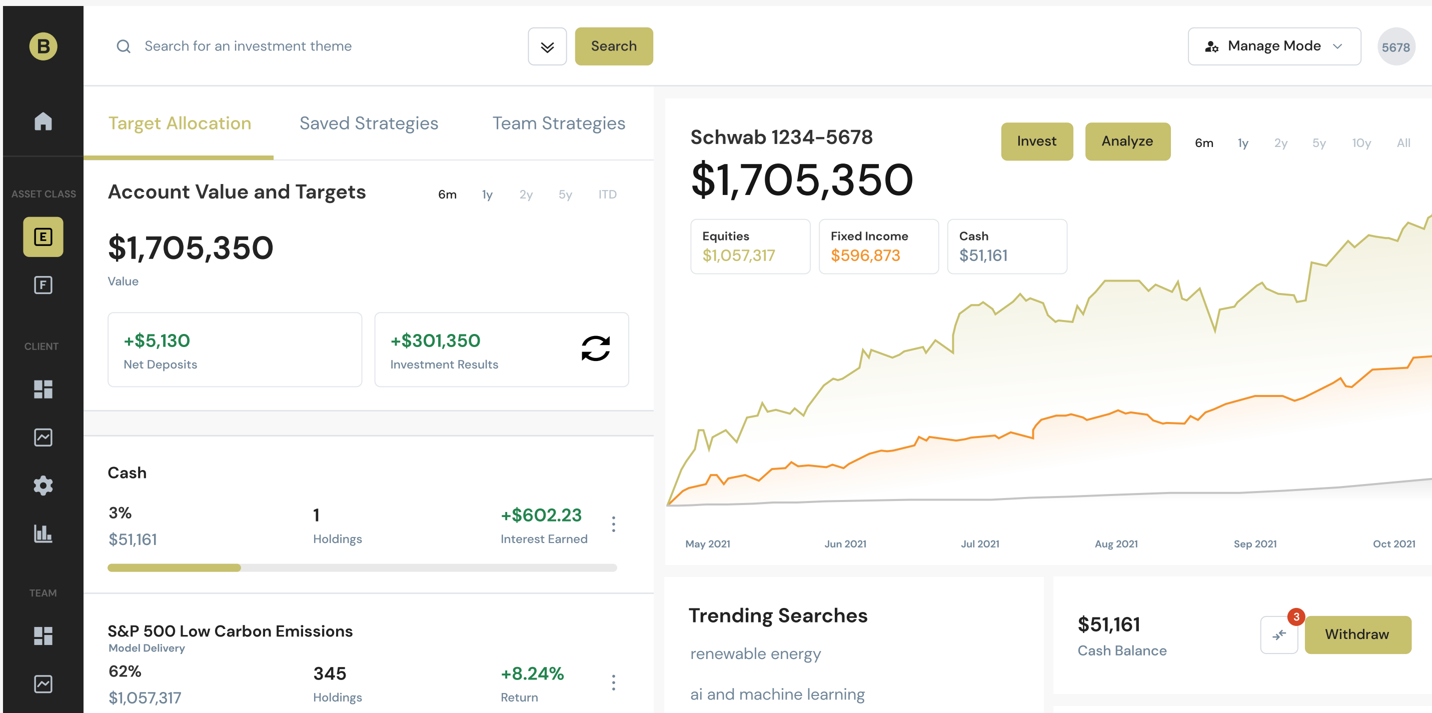
Construct each client portfolio using either third-party building blocks – such as third-party indices, manager-curated models, manager-traded strategies, ETFs or mutual funds – or fully custom indices built bottom-up on our advisor portal.

TAX-MANAGE

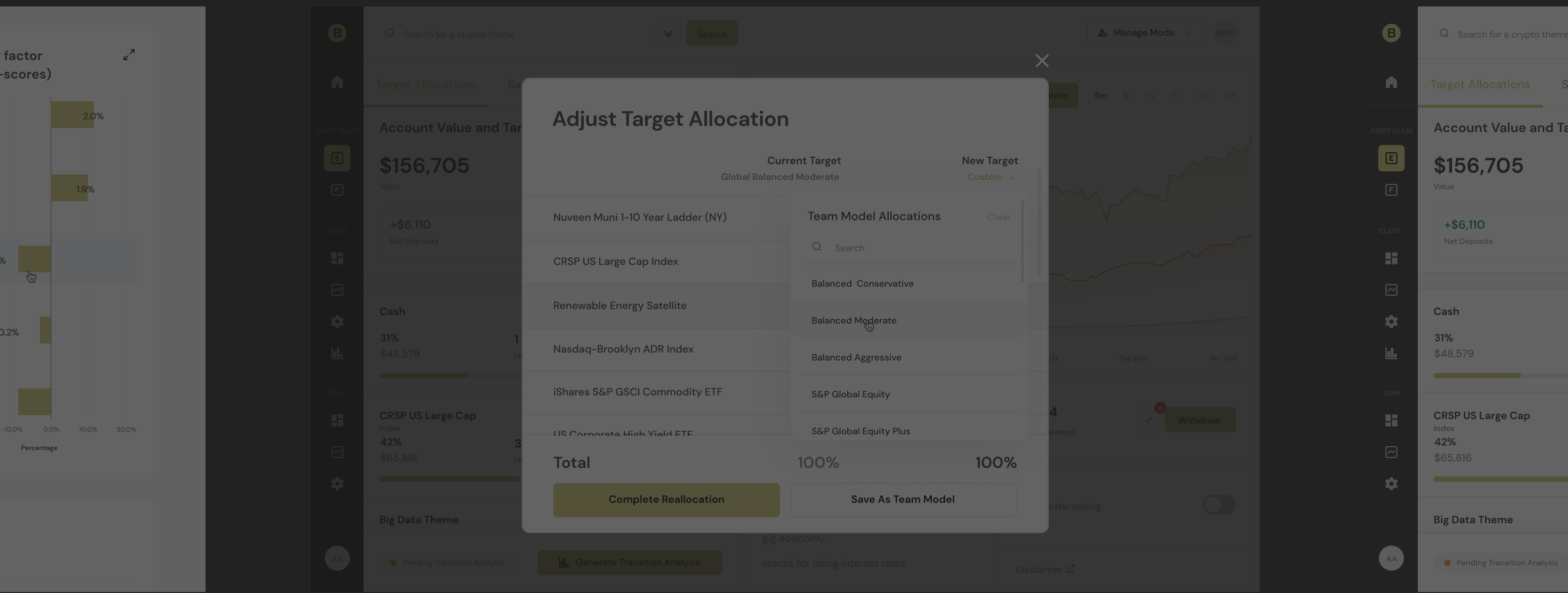
Brooklyn automatically monitors each portfolio every business day for tax-loss harvesting and rebalancing opportunities, handles lifecycle events, concentrated position selldown programs, and other common client situations.

REPORT

Daily after-tax performance reporting for each client portfolio and client-specific benchmarks with rich analytics are available on-demand on the advisor portal, empowering advisors to demonstrate the value-add of their advice, every day.



Differentiating Features



Brooklyn's platform provides comprehensive personalization, tax management, and rebalancing across equities and fixed income, ETFs, and mutual funds in a single custodian account.

The platform leverages a multi-asset, multi-sleeve architecture to enable holistic management of each portfolio. Powered by advanced cloud-based technologies, our intuitive advisor portal helps advisors scale personalization across clients. A.I. assists in daily portfolio management and custom index creation processes.

We built the platform and the portal in collaboration with financial advisors, enabling us to directly address the needs of both advisors and their clients. Examples of our differentiating capabilities are shown in the table on the next page.

Key Brooklyn differentiators and associated benefits

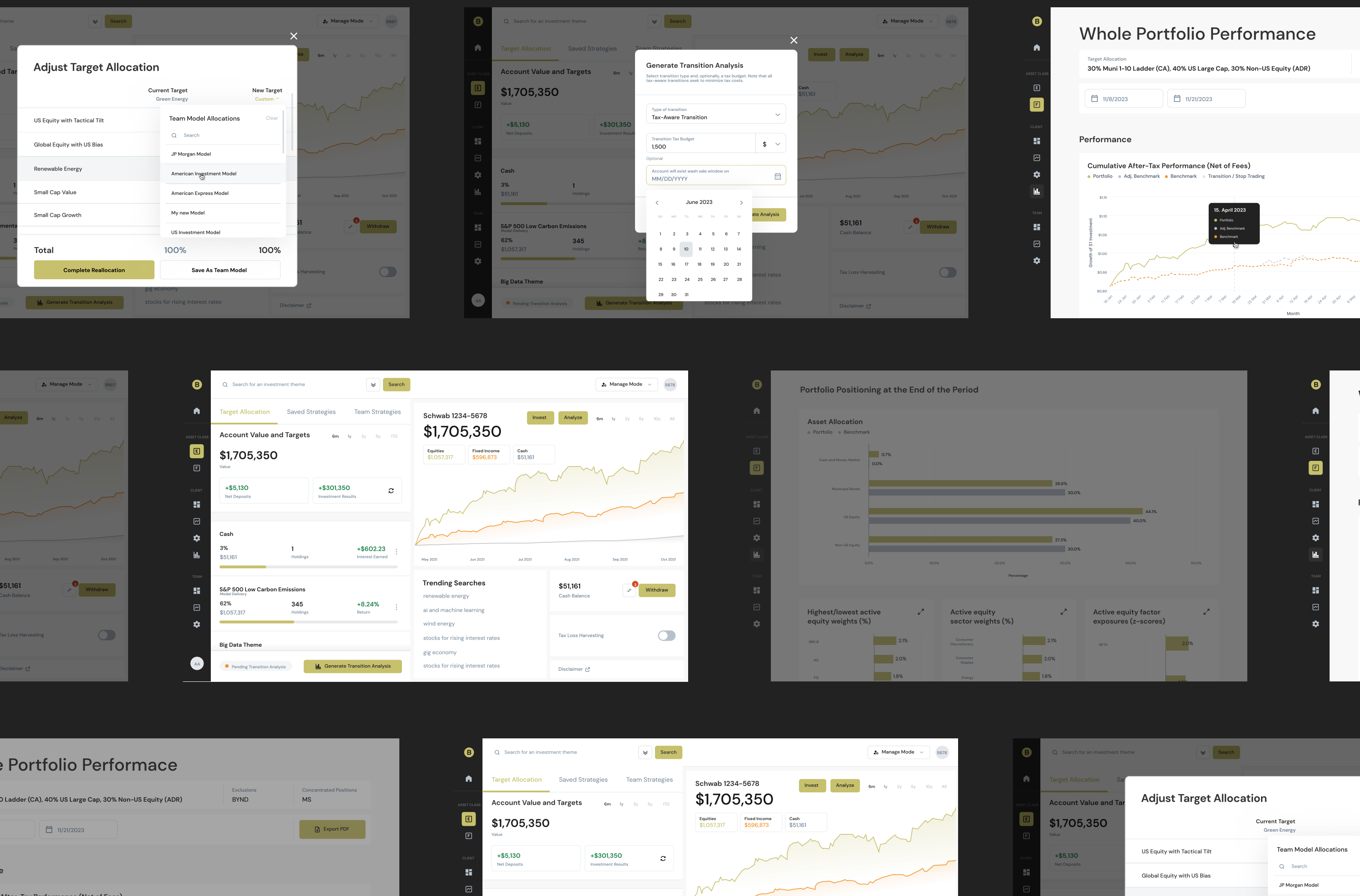
		BENEFITS TO ADVISOR	BENEFITS TO END CLIENT
1.	**Whole portfolio** – equities, fixed income, ETFs, and mutual funds – in a single custodian account	Eliminate workflows associated with rebalancing and managing cash flows to unlock time and cost savings	Potential for greater portfolio efficiency, tax and fee savings
2.	**Intuitive advisor** portal to customize and manage all accounts	Customize and manage each portfolio to enable scalable personalization	Personal investment objectives accurately reflected in the portfolio
3.	**Daily monitoring** for tax-loss harvesting, rebalancing, and lifecycle events	Peace of mind that every portfolio is monitored every business day	Potential for greater tax alpha and tracking of investment objectives
4.	**Automated concentrated position management** and handling of other common client situations	Add value via automated tax-neutral selldown programs for appreciated positions	Diversify out of concentrated risks in a tax-aware way
5.	**After-tax performance dashboard with daily reporting** and attribution for portfolio, benchmark and adjusted benchmark	Demonstrate ongoing value creation in portfolio reviews to help elevate client engagement and build trust	Precise understanding of portfolio performance and the value added by the advisor
6.	**Multi-asset transition analyses** for prospects and clients	Effectively communicate investment tradeoffs and set expectations	Comprehensive understanding of transition tax costs and roadmap
7.	**A.I.-powered custom index creation** with point-in-time backtesting	Design tactical tilts or cater to thematic investment interests to create engagement or to seek potential performance gains	Ability to invest in new themes that do not have pre-packaged ETFs or mutual funds
8.	**Enhanced direct indexing** using 130/30 portfolios and overlays	Help solve demanding investment problems to further differentiate your practice from competitors	Resuscitate tax loss harvesting in "frozen" portfolios, accelerate tax-neutral selldowns
9.	**Algorithmic trading**	Add value in trade execution through automated algo selection	Potential for enhanced performance through execution alpha

Risks associated with custom indexing in separately managed accounts (SMAs) include: (1) SMAs utilizing custom direct indexing strategies may underperform other investment strategies and run the risk of loss; (2) tax-loss harvesting may result in tax deferral, but not tax elimination, and may also result in increased tracking error to the underlying benchmark; (3) as with other technology-driven processes, algorithmic trading contains the possibility of error.

How to use the Brooklyn Platform

Advisors onboard clients by selecting BKLN Tax-Advantaged Balanced strategy on the Schwab or Fidelity marketplaces and customize each client account on the Brooklyn Advisor Portal at https://client.bkln.com

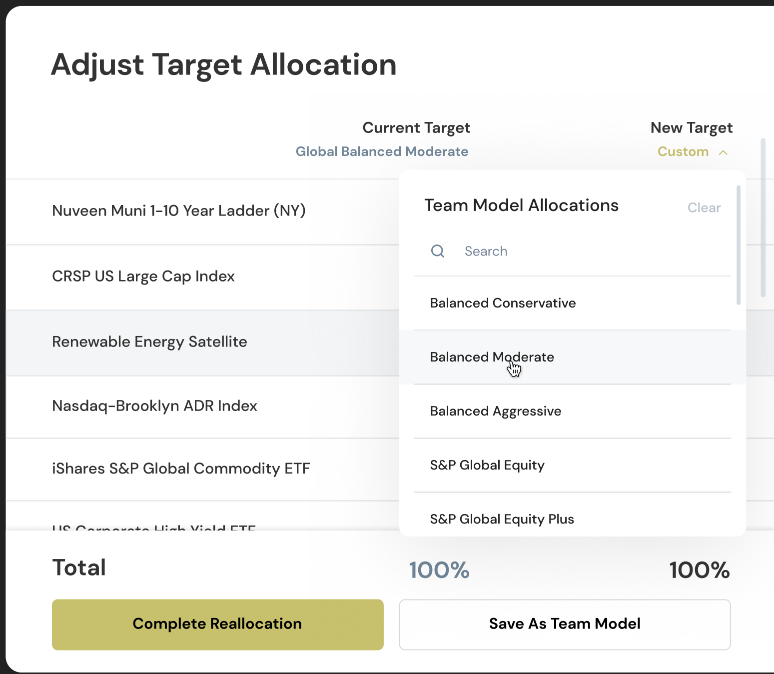
The sub-advisory relationship between Brooklyn Investment Group and each advisory firm is governed by an investment management agreement.



TARGET PORTFOLIO

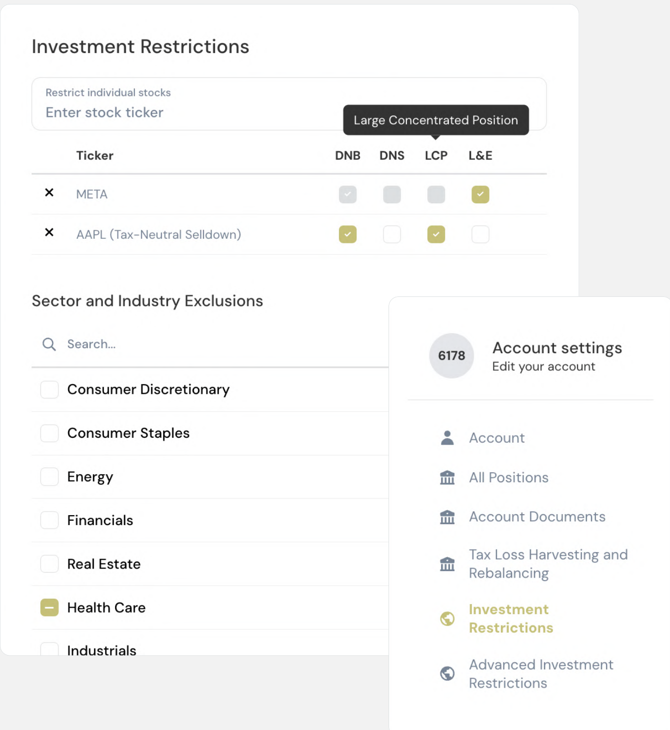
1 / 6

On the Brooklyn advisor portal, advisors can either assign clients to model portfolios or create custom allocations across stocks and bonds for each client.

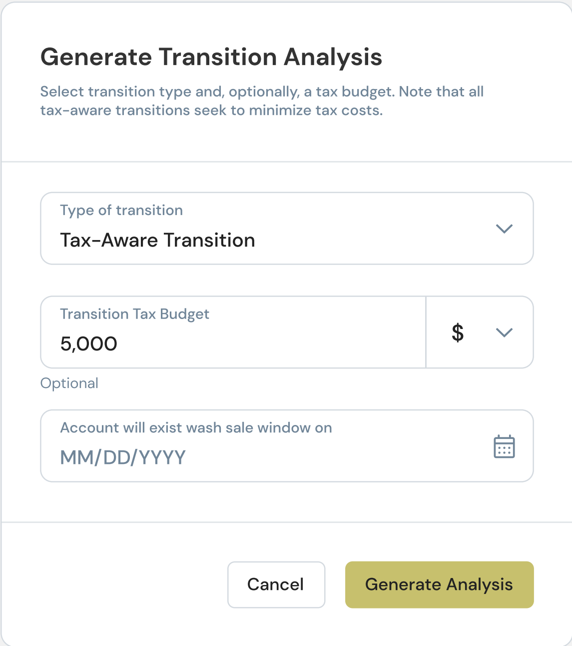


INVESTMENT RESTRICTIONS

2 / 6

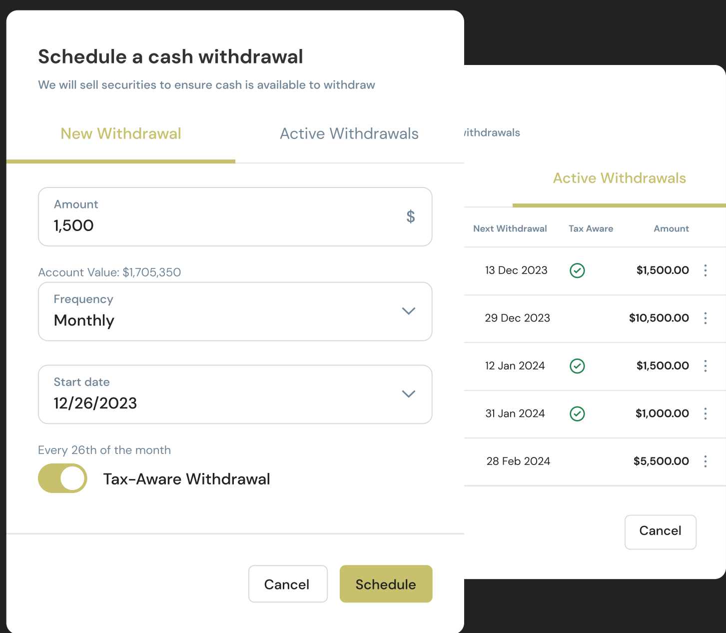


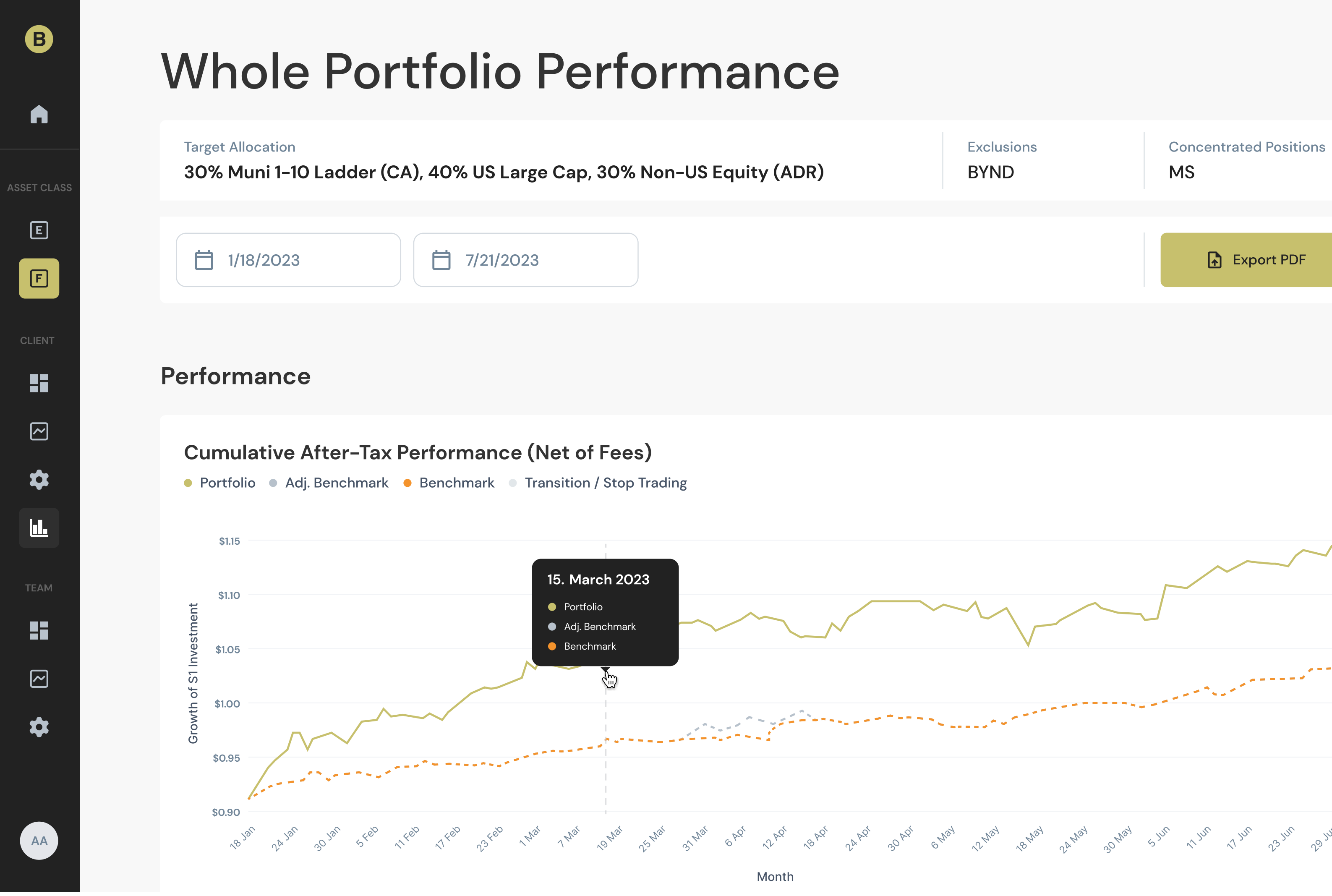
Client-specific investment restrictions, including preferences for concentrated position management or ESG values can be adjusted within Client Settings.



Once the account is configured, a transition tax analysis can be generated. Selecting a transition scenario will activate the target allocation.

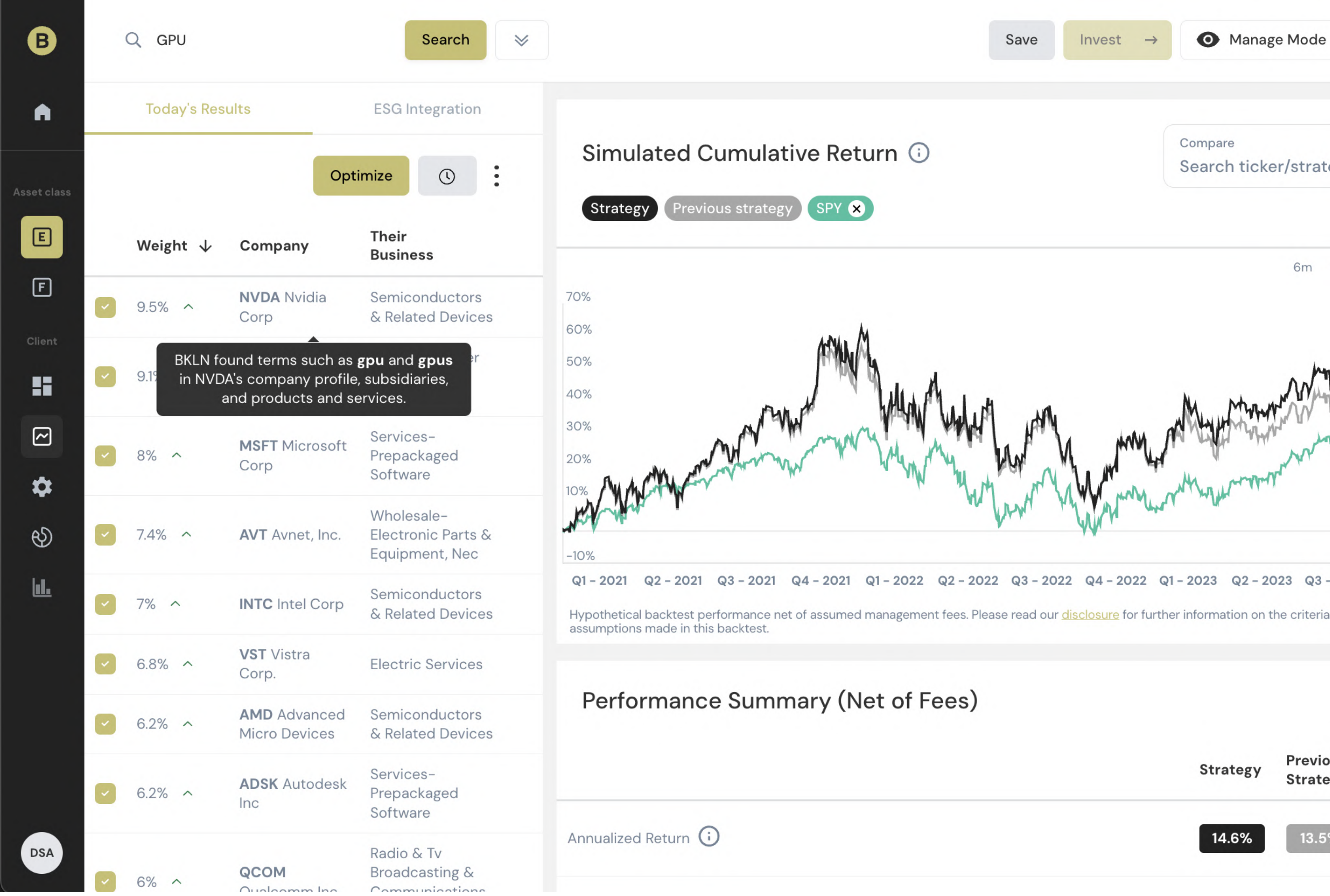
Advisors use the portal for ongoing account management, including scheduling of cash withdrawals and selecting securities for gifting.





AFTER-TAX REPORTING

Daily after-tax reporting for the portfolio and its benchmark(s) is available on the convenient performance dashboard.



CUSTOM INDEX CREATION

Finally, advisors can leverage the A.I.-powered custom index creation tool to create thematic satellites or tactical tilts. The tool also enables ETF tracking with customizations or ESG overlays.

Get in Touch

If you would like additional information about Brooklyn's platform or to schedule a live demo, please reach out to us at info@bkln.com

BKLN

This brochure is targeted solely at financial professionals and is intended to provide an introductory summary of the capabilities and uses of Brooklyn's platform. This material is not intended to be a recommendation or investment advice, does not constitute a solicitation to buy, sell or hold a security or an investment strategy, and is not provided in a fiduciary capacity. The information provided does not take into account the specific objectives or circumstances of any particular investor, or suggest any specific course of action. Financial professionals should independently evaluate the risks associated with products or services and exercise independent judgment with respect to their clients. The screenshots herein are used for illustrative purposes only. Actual results for any particular client or time period will vary, and no prediction of future results should be drawn from the screenshots. For a more comprehensive discussion of risks and benefits, reference Brooklyn's Form ADV by navigating to: https://files.adviserinfo.sec.gov/IAPD/Content/Common/crd_iapd_Brochure.aspx?BRCHR_VRSN_ID=860879